

# Violation of local realism with freedom of choice

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**Bell's theorem shows that local realistic theories place strong restrictions on observable correlations between different systems, giving rise to Bell's inequality which can be violated in experiments using entangled quantum states. Bell's theorem is based on the assumptions of realism, locality, and the freedom to choose between measurement settings. In experimental tests, "loopholes" arise which allow observed violations to still be explained by local realistic theories. Violating Bell's inequality while simultaneously closing all such loopholes is one of the most significant still open challenges in fundamental physics today. In this paper, we present an experiment that violates Bell's inequality while simultaneously closing the locality loophole and addressing the freedom-of-choice loophole, also closing the latter within a reasonable set of assumptions. We also explain that the locality and freedom-of-choice loopholes can be closed only within nondeterminism, i.e., in the context of stochastic local realism.**

Quantum entanglement, a concept which was first discussed by Einstein et al. (1) and by Schrödinger (2), is the key ingredient for violating Bell's inequality (3) in a test of local realism. One way of describing a Bell test is as follows. Two observers, Alice and Bob, receive (entangled) particles emitted by some source; they each choose a measurement setting,  $a$  and  $b$ , respectively, and then record their measurement outcome values,  $A$  and  $B$ . Although many Bell tests have been performed to date (4–16), only the locality loophole (7, 13) (i.e., the possibility that the outcome on one side is causally influenced by the setting choice or outcome on the other side) and the fair-sampling or detection loophole (14, 16) (i.e., the possibility that only a non-representative subensemble of particles is measured) have been closed individually. A loophole-free test has not been performed yet and is therefore in the focus of numerous experimental and theoretical efforts worldwide (17–21). The freedom-of-choice loophole (i.e., the possibility that the settings are not chosen independently from the properties of the particle pair) has been widely neglected and has not been addressed by any experiment to date. However, we believe that a definitive Bell test must close all loopholes (17). Otherwise, the measured data can still be explained in terms of local realism. In this work, we present an experiment which simultaneously closes the locality and the freedom-of-choice loophole. To understand more precisely what is required to implement a loophole-free Bell test, we now discuss Bell's assumptions in detail.

*Realism* is a world view "according to which external reality is assumed to exist and have definite properties, whether or not they are observed by someone" (22). *Locality* is the concept that, if "two systems no longer interact, no real change can take place in the second system in consequence of anything that may be done to the first system" (1). The common assumption of *local realism* [or "local causality" (3)] implies that the conditional joint probability for Alice's and Bob's outcomes, which can depend on the setting values of both observers and on a set of (shared) "hidden variables"  $\lambda$ , factorizes into probabilities that only depend on the local settings and  $\lambda$ , i.e.,  $p(A, B|a, b, \lambda) = p(A|a, \lambda)p(B|b, \lambda)$ . Hidden variable models are called *stochastic* if only the outcome probabilities are specified, and they are called *deterministic* if every individual outcome value is explicitly determined with

probability zero or one. Mathematically, stochastic hidden variable theories (23, 24) can be seen as mixtures of deterministic theories (25).

In an experiment, the *locality loophole* arises when Alice's measurement result can in principle be causally influenced by a physical (subluminal or luminal) signal from Bob's measurement event or Bob's choice event, and vice versa. The best available way to close this loophole is to space-like separate every measurement event on one side from both the measurement [outcome independence (26)] and setting choice [setting independence (26)] on the other side. Then, special relativity ensures that no physical signals between the events, which can never propagate faster than the speed of light, can influence the observed correlations. Experimentally, the locality loophole was addressed by the pioneering work of Aspect et al. (7) (using periodic changes of the analyzer settings while the photons were in flight) and further tightened by Weihs et al. (13) (using random changes).

The *freedom-of-choice* assumption (24, 27, 28) is just as crucial as realism and locality in the derivation of Bell's theorem. According to Bell, this "important hypothesis" (28) requires that "the variables  $a$  and  $b$  can be considered as *free* or *random*" (28), and if the setting choices "are truly free or random, they are not influenced by the hidden variables. Then the resultant values for  $a$  and  $b$  do not give any information about  $\lambda$ " (28). In other words, the probability distribution of the hidden variables is therefore independent of the setting choices:  $\rho(\lambda|a, b) = \rho(\lambda)$  for all settings  $a$  and  $b$ . Without this independence, there is a loophole for local realistic theories which has not been addressed by any experiment to date. Indeed, even in the two "locality experiments" by Aspect et al. (7) and Weihs et al. (13), freedom of choice was not guaranteed. In the former, the settings were applied deterministically and periodically such that the actual setting choices occurred much earlier in the backward light cones of the emission events and could thus have been *communicated* to the hidden variables created at the source. In the latter, the photons were transmitted via optical fibers and random settings were chosen right before the measurements in the future light cone of the emission and could hence have been *influenced* by the hidden variables created at the source at the time of emission of the entangled photons. Therefore, those experiments did not attempt to close the freedom-of-choice loophole as no specific procedure ensured that the settings were not influenced by the hidden variables or vice versa.

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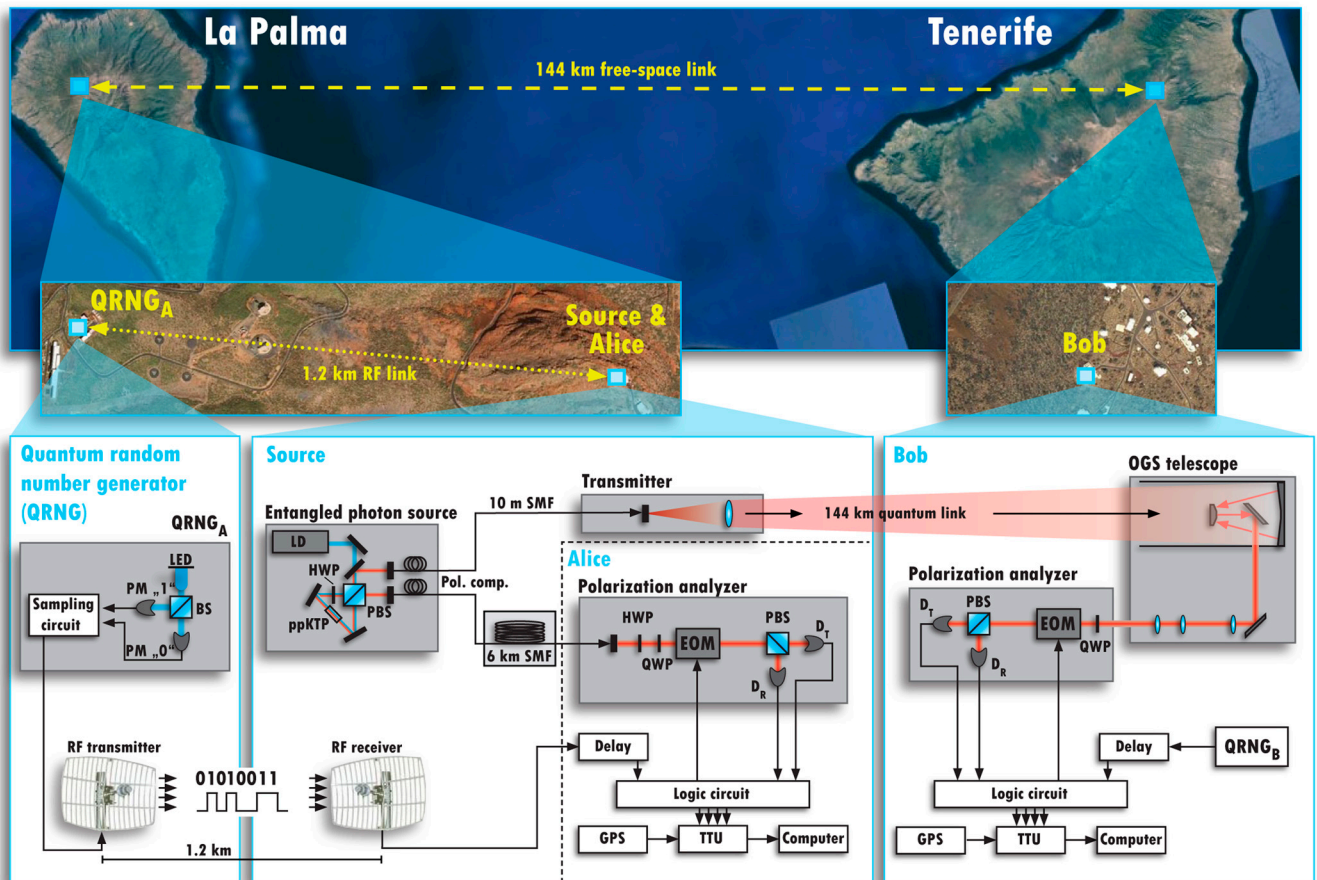
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**Fig. 1.** Experimental setup. The Bell experiment was carried out between the islands of La Palma and Tenerife at an altitude of 2,400 m. (*La Palma*) A 405-nm laser diode (LD) pumped a periodically poled potassium titanyl phosphate (ppKTP) crystal in a polarization-based Sagnac interferometer, to generate entangled photon pairs in the  $\psi^-$  singlet state. One photon per pair was sent through a 6-km-long, coiled optical single-mode fiber (SMF) to Alice (located next to the source). Alice's polarization analyzer consisted of half- and quarter-wave plates (HWP, QWP), an electro-optical modulator (EOM), a polarizing beam splitter (PBS) and two photodetectors ( $D_T$ ,  $D_R$ ). A quantum random number generator (30) (QRNG<sub>A</sub>) located at a distance of 1.2 km, consisting of a light-emitting diode (LED), a 50/50 beam splitter (BS), and two photomultipliers (PMs), generated random bits which were sent to Alice via a 2.4 GHz radio link. The random bits were used to switch the EOM, determining if the incoming photon was measured in the  $22.5^\circ/112.5^\circ$  or  $67.5^\circ/157.5^\circ$  linear polarization basis. A time-tagging unit (TTU), locked to the global positioning system (GPS) time standard and compensated (31) for small drifts up to 10 ns, recorded every detection event (arrival time, detector channel, and setting information) onto a local hard disk. The other photon was guided to a transmitter telescope and sent through a 144-km optical free-space link to Bob on Tenerife. (*Tenerife*) The incoming photon was received by the 1-m optical ground station (OGS) telescope of the European Space Agency. At Bob's polarization analyzer (triggered by an equal but independent quantum random number generator QRNG<sub>B</sub>), the photons were measured in either the horizontal ( $0^\circ$ )/vertical ( $90^\circ$ ), or the  $45^\circ/135^\circ$  linear polarization basis. Bob's data acquisition was equivalent to Alice's. (See also *Materials and Methods* for details.) (Geographic pictures taken from Google Earth, ©2008 Google, Map Data ©2008 Tele Atlas.)

independence), the setting values,  $a$  and  $b$ , were determined by independent quantum random number generators (QRNGs) (30) at appropriate points in space-time, denoted as events **a** and **b**. To switch between two possible polarization measurements, these settings were implemented using fast electrooptical modulators (EOMs). These combined conditions explicitly closed the locality loophole (13).

To simultaneously close the freedom-of-choice loophole, the settings were not only chosen by random number generators (see *Materials and Methods*) and space-like separated from each other, but the corresponding choice events, **a** and **b**, were also arranged to be space-like separated from the photon-pair emission event, denoted as **E** (Fig. 24). On Alice's side, the QRNG was placed approximately 1.2 km from the photon source. The random setting choices were transmitted via a classical 2.4 GHz AM radio link to Alice and electronically delayed such that, for a given measurement event, the setting choice and the photon emission were always space-like separated (see Fig. 24). Because the emission times were probabilistic and the QRNG produced a random number every 1  $\mu$ s, the choice and emission occurred simultaneously within a time window of  $\pm 0.5$   $\mu$ s in the

reference frame of the source. On Bob's side, the same electronic delay was applied to the random setting to ensure that his choice occurred before any signal could arrive from the photon emission at the source. These combined measures ensured the space-like separation of the choice and emission events, and thus closed the freedom-of-choice loophole.

Because Alice's and Bob's measurement events were space-like separated, there exists a moving reference frame in which those events happened simultaneously. Bob's electronic delay was chosen such that, in this frame, the setting choices also happen approximately simultaneously (Fig. 2B). The speed of this frame with respect to the source reference frame is  $v_{\text{ref}} = c^2 \cdot (t_B - t_A) / (x_B - x_A) = 0.938 \cdot c$ , with the speed of light  $c$ , using the space-time coordinates of the measurement events **A** = ( $t_A$ ,  $x_A$ ) = (29.6  $\mu$ s, 0) and **B** = ( $t_B$ ,  $x_B$ ) = (479  $\mu$ s, 143.6 km). The relativistic gamma factor is  $\gamma = 1 / (1 - v_{\text{ref}}^2 / c^2)^{1/2} = 2.89$ , giving an effective spatial separation of Alice at La Palma and Bob at Tenerife under Lorentz contraction of  $\gamma^{-1} \cdot 143.6$  km  $\approx$  50 km. Note that, because space-like separation is invariant under Lorentz transformation, the locality and the freedom-of-choice loopholes were closed in all reference frames.





and assuming that setting choices are not deterministic, the only models not excluded by our experiment appear to be beyond the possibility of experimental verification or falsification, such as those which allow actions into the past or those where the setting choices and the hidden variables in the particle source are (super-realistically) interdependent because of their common past. We therefore believe that we have now closed the freedom-of-choice loophole no less conclusively than Aspect et al. (7) and Weihs et al. (13) closed the locality loophole. One might still argue that in future experiments the choices should be made by “two different experimental physicists” (28) or by cosmological signals coming from distant regions of space. A completely loophole-free Bell test will have to exclude the locality and the freedom-of-choice loopholes and simultaneously close the fair-sampling loophole. Besides the need for high-quality components (e.g., high-efficiency detectors), extremely high transmission is also necessary, which is not achievable with our experimental setup due to high loss between the islands. A future loophole-free Bell test would have to operate at a distance between Alice and Bob which has to obey a critical balance between too large, thus losing too many photons, and too close to implement space-time separation between the relevant parts of the experimental setup. Our quantitative estimates indicate that such an experiment might just be on the verge of being possible with state-of-the-art technology.

## Materials and Methods

All data for this paper were taken during three weeks in June and July 2008. Although there were some similarities with previous experiments (15, 34), there were many substantial advances in terms of both experimental design and technological implementation. These we describe in detail below.

**Entangled Photon Source.** Entangled photon pairs were generated by type II down-conversion in a 10-mm periodically poled potassium titanyl phosphate crystal which was placed inside a polarization Sagnac interferometer (31). Using a 405-nm laser diode with a maximum output power of 50 mW, we generated entangled pairs of a wavelength of 810 nm in the  $\psi^-$  Bell state with a production rate of  $3.4 \times 10^7$  Hz. This number was inferred from locally detected 250,000 photon pairs per second at a pump power of 5 mW and a coupling efficiency of 27% (calculated from the ratio of coincidence and singles counts). Furthermore, operation at 5-mW pump power yielded a locally measured visibility of the generated entangled state in the H/V (45°/135°) basis of  $\approx 99\%$  (98%) (accidental coincidence counts subtracted). We assumed that the state visibility did not change considerably at 50-mW pump power.

**Random Number Generator.** The layout of the QRNG is depicted in Fig. 1 and described in detail in ref. 30. The source of randomness is the splitting of a weak light beam from a light-emitting diode on a 50:50 optical beam splitter (BS). Each individual photon coming from the light source and traveling through the BS has, itself, an equal probability of being found in either output of the BS. The individual detector events trigger the change of a memory (flip flop), which has two states: 0 and 1, as follows: When photon multiplier (PM) “0” fires, then the memory is set to 0. It remains in this state until a detection event in PM “1” occurs, which flips the memory to state 1, until an event in PM 0 in turn sets the state to 0 again. The average toggle rate of the memory was about 30 MHz, which was much faster than the setting choices sampled at 1 MHz and thus excluded any correlation between successive events. Quantum theory would predict that the individual “decisions” are truly random (35) and independent of each other. In a test of Bell’s in-

equality, however, we of course have to work within a local realistic (hidden variable) world view. Within such a view, the QRNG—in contrast to, e.g., computer-generated pseudorandomness—is the best known candidate for producing stochastic and not deterministic settings, as no underlying deterministic model is known. Although the randomness of our QRNGs has been verified previously as far as possible by extensive testing (30), we hasten to underline that a definitive proof of randomness is impossible in principle.

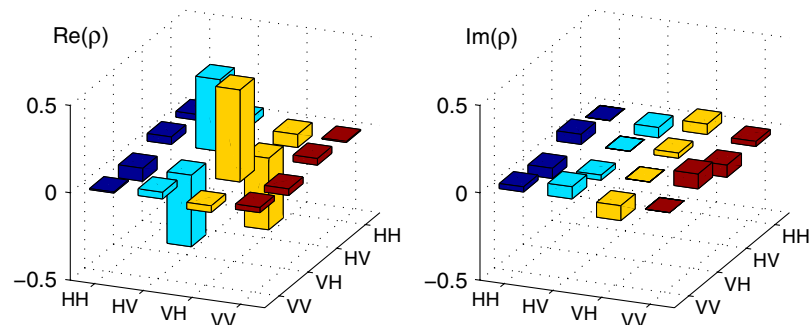
**Polarization Analyzer Modules.** As EOMs, we used Pockels Cells (PoCs) consisting of two  $4 \times 4 \times 10$  mm rubidium titanyl phosphate (RTP) crystals. In order for the PoC to serve as a switchable half-wave plate (HWP) for polarization rotations of 0° and 45°, we aligned the optical axes of the RTP crystals to 22.5°. Additionally, we placed a quarter-wave plate (QWP) with its optical axis oriented parallel to the axis of the RTP crystals in front of the PoC. Applying a positive quarter-wave voltage (+QV) made the PoC act as an additional QWP, such that the overall effect was the one of a HWP at 22.5° which rotates the polarization by 45°. In contrast, applying negative quarter-wave voltage (−QV) made the PoC compensate the action of the QWP, such that the overall polarization rotation was 0°. A self-built complex programmable logic device (CLPD) sampled the random bit sequence from the QRNG and delivered the required pulse sequence to the PoC driver head. A random bit 0 (1) required a polarization rotation of 0° (45°) and −QV (+QV) was applied to the PoC. A given setting was not changed until the occurrence of an opposite trigger signal. However, because our QRNG was balanced within the statistical uncertainties, +QV and −QV were applied on average equally often. As a result, the mean field in the PoC was zero, which allowed continuous operation of the PoC without damaging the crystals, e.g., due to ion-wandering effects. For optimal operation of the PoC, a toggle frequency of 1 MHz was chosen. The rise time of the PoC was measured to be <15 ns. Thus, to be sure that the switching process had been finished, we discarded all photons which were detected less than 35 ns after a trigger signal. These operating conditions resulted in a switching duty cycle of approximately 97%.

**Six-Kilometer Optical Fiber Delay.** At Alice’s location, the 6-km-long fiber was placed in a thermally insulated box and temperature stabilized to  $40 \pm 0.2$  °C to avoid polarization drift. Despite these measures, we had to realign the polarization through the fiber link approximately every 600 s. The fiber attenuation of 17 dB and the attenuation of the analyzer module of 3 dB resulted in an attenuation of Alice’s quantum channel of 20 dB.

**One Hundred Forty-Four Kilometer Optical Free-Space Channel.** The optical free-space link was formed by a transmitter telescope mounted on a motorized platform and a receiver telescope—the European Space Agency’s Optical Ground Station (OGS) with a 1-m mirror (effective focal length  $f = 38$  m) located on Tenerife. The transmitter consisted of a single-mode fiber coupler and an  $f/4$  best form lens ( $f = 280$  mm). We employed the closed-loop tracking system described in refs. 15 and 34. Using a weak auxiliary laser diode at 810 nm, the attenuation of the free-space link from La Palma (including the 10-m single-mode fiber to the transmitter telescope) to the (free-space) avalanche photodiodes (APDs) (500- $\mu$ m-diameter active area) at the OGS in Tenerife was measured to be 35 dB. Here, the 3-dB attenuation through the analyzer module is already included.

The photon-pair attenuation of the whole setup was therefore  $20 + 35 = 55$  dB (including the detection inefficiency on both sides), from which we predicted a coincidence rate of  $\approx 8$  Hz between Alice and Bob, in good accordance with our measured 19,917 coincidences in 2,400 s (i.e., 8.3 Hz).

**Event Durations.** In our experiments, we define the event durations as follows: for measurements **A** and **B**, the time from a photon impact on



**Fig. 3.** State tomography. Reconstructed density matrix  $\rho$  for Alice’s and Bob’s nonlocal two-photon state, with tangle (36, 37)  $T = 0.68 \pm 0.04$ , confirming the entanglement of the widely separated photons, with linear entropy (37)  $0.21 \pm 0.03$ , and an optimal fidelity with a maximally entangled state  $F_{\text{opt}} = 0.91 \pm 0.01$ . The measured state predicts a Bell parameter of  $S^{\text{tomo}} = 2.41 \pm 0.06$ , which agrees with the directly measured value, and an optimal violation of  $S^{\text{opt}} = 2.54 \pm 0.06$  for a rotated set of polarization measurements. The nonzero imaginary components are mainly due to polarization rotations resulting from imperfections in the alignment of Alice’s and Bob’s shared reference frame.

Table 2. Space-time scenarios

Settings a and b ...	Our measured Bell value $S^{\text{exp}}$	Previously tested?
Chosen in the past light cone of the emission*	$2.28 \pm 0.04$	Yes: experiments with static settings, e.g., Freedman and Clauser (4)
Varied periodically†	$2.23 \pm 0.05$	Yes: Aspect et al. (7)
Randomly chosen in the future light cone of the emission‡	$2.23 \pm 0.09$	Yes: Weihs et al. (13)
Space-like separated from the emission§	$2.37 \pm 0.02$	No: presented here

\*Choice events a and b lay in the past light cone of E and could have influenced the hidden variables emitted by the source. In addition, the choice event on one side was not space-like separated from the measurement event on the other side. Thus, the locality and the freedom-of-choice loopholes were not closed. The same conclusion holds for any experiment with static setting, e.g., the Bell test of Freedman and Clauser (4).

†Settings were varied periodically by replacing the QRNGs with function generators also operating at 1 MHz and were hence predictable at any time. This situation is similar to the one in Aspect et al. (7).

‡Choice events a and b lay in the future light cone of the pair emission E, and thus could in principle have been influenced by the hidden variables produced by the source, and hence the freedom-of-choice loophole was not closed. The weak Bell violation by 2.5 standard deviations was due to bad weather conditions which resulted in low photon transmission through the free-space link and a low signal-to-noise ratio. A similar scenario was achieved in the experiment of Weihs et al. (13).

§Scenario of the experiment described in the main text of this paper.

the detector surface until the completion of the APD breakdown ( $<10$  ns for our detectors); for setting choices a and b, the auto-correlation time of the random number generators ( $=1/(2R) \approx 17$  ns for an internal toggle frequency (30)  $R = 30$  MHz); and for the emission event E, the coherence time of the pump laser ( $<1$  ns).

**Actual Space-Time Arrangement.** The geographical setup is not exactly one-dimensional as drawn in Fig. 2. However, the deviation from an ideal one-dimensional scenario is only about  $24^\circ$ . The real-space distance between Alice's QRNG and Bob is about 100 m less than the sum of the distance be-

tween Alice's QRNG and Alice herself (1.2 km), and the distance between Alice and Bob (143.6 km). Thus, using the approximated one-dimensional scenario in Fig. 2 introduces no deviations larger than  $0.3 \mu\text{s}$  (which is well below the time for which an individual setting is valid) and hence does not affect the space-like separation of the key events. One can also neglect the refractive index of air at this altitude (1.0002) and the delay due to the optical path in the receiving telescope, each of which only introduces an error of approximately  $0.1 \mu\text{s}$  to the flight time of Bob's photon.

**State Tomography.** We also employed the full experimental setup to perform tomography and directly measure the entangled state (Fig. 3) in the same locality and freedom-of-choice context. The measured quantum state demonstrates the entanglement of the widely separated photons by about 17 standard deviations, characterized by the tangle (36, 37)  $T = 0.68 \pm 0.04$ . It also predicts a Bell parameter of  $S^{\text{tomo}} = 2.41 \pm 0.06$ , which agrees with the direct measurement. This tomographic analysis requires no prior knowledge of the polarization orientation of the two-photon state, and therefore does not rely on how well Alice and Bob can establish a shared reference frame. Therefore, we can also calculate the optimal Bell violation that could have been achieved with a perfectly aligned reference frame,  $S^{\text{opt}} = 2.54 \pm 0.06$ , which is close to the Bell value  $S^{\text{NR}} = 0.91 \cdot 2/\sqrt{2} \approx 2.57$  which is limited only by the SNR. This agreement indicates that the polarization errors did not result from polarization decoherence.

**Different Space-Time Scenarios.** For the sake of completeness, we have performed Bell experiments using different space-time arrangements of the relevant events, achieving significant Bell violations in each case (Table 2).

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